



Please type a plus sign (+) inside this box ☒



Based on PTO/SB/21 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

THW

| | | |
|---|------------------------|-------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/764,651 |
| | Filing Date | 1/26/2004 |
| | First Named Inventor | Ramin Shahidi |
| | Group Art Unit | 3737 |
| | Examiner Name | Francis J. Jaworski |
| Total Number of Pages in This Submission | Attorney Docket Number | Shahidi-001B/STAN.P0009 |

| Enclosures (Check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosures (Listed below): |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | 1. Postcard |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | 2. International Search Report |
| <input type="checkbox"/> Request to Rescind Previous Non-Publication Request | <input type="checkbox"/> CD, Number of CD(s) _____ | 3. Credit Card Payment Form |
| <input checked="" type="checkbox"/> Information Disclosure Statement and PTO-1449 | Remarks: | |
| Certified Copy of Priority Documents | | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm Or Individual name | Ali Makoui, Reg. No. 45,536 of Stattler Johansen & Adeli LLP |
| Signature | <i>Ali Makoui</i> |
| Date | 2/6/06 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|--|-------------------|------|------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: February 6, 2006 | | | |
| Typed or printed name | Ali Makoui | | |
| Signature | <i>Ali Makoui</i> | Date | February 6, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT
Docket No. Shahidi-001B/STAN.P0009

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on February 6, 2006.

Ali Makoui
Ali Makoui

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ramin Shahidi

Serial No.: 10/764,651

Filing Date: 1/26/2004

For: SYSTEMS AND METHODS
FOR INTRAOPERATIVE
TARGETTING

Examiner: Francis J. Jaworski

Group Art Unit: 3737

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits with this Information Disclosure Statement the attached Form PTO-1449, and a copy of the International Search Report citing the document listed in the 1449 form for consideration by the Examiner. The Examiner is requested to make these documents of record. Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

02/14/2006 HVUONG1 00000038 10764651

01 FC:1806

180.00 DP

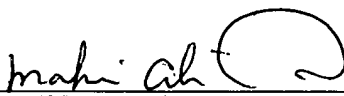
This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

This Information Disclosure Statement is submitted after a first Office Action on the merits but before a Final Office Action. Accordingly, a fee is required. The requisite fee is attached.

However, in the unlikely event that the Patent Office determines that additional fees, extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1128** referencing **STAN.P0009**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

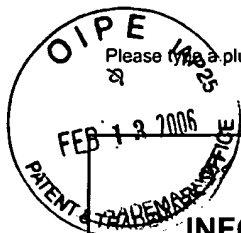
Dated: February 6, 2006

Respectfully submitted,

By: 
Ali Makoui
Registration No. 45,536

Stattler, Johansen & Adeli LLP
1875 Century Park East, Suite 1360
Los Angeles, CA 90067

Telephone: (310) 785-0140 ext. 302



Please type a plus sign (+) inside this box +

Based on PTO/SB/08 a & b (05-03)
Approved for use through 05/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

| | | | | | |
|---|----------|-----------|----------|-------------------------------|-------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 10/764,651 |
| | | | | Filing Date | 1/26/2004 |
| | | | | First Named Inventor | Ramin Shahidi |
| | | | | Group Art Unit | 3737 |
| | | | | Examiner Name | Francis J. Jaworski |
| Sheet | 1 | of | 1 | Attorney Docket Number | Shahidi-001B/STAN.P0009 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|--------------------------------|-------------------------|--------------------------------------|--|---|
| Examiner* Initials | Cite No. ¹ | U.S. Patent Document Number | Kind Code (if known) | Date of Publication MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1. | 6,662,036 | B2 | 12-09-2003 | Cosman | Sheet 5, Fig. 7 |

| U.S. PATENT APPLICATIONS | | | | | |
|--------------------------|--------------------------|--|--|------------------------------|---|
| Examiner* Initials | Cite No. ¹ | U.S. Patent Application Serial Number | Name of Patentee or Applicant of Cited Document | Date of Filing MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 2. | 11/045,013 | West, et. al. | 01-27-2005 | Entire document of a later-filed application with Ramin Shahidi listed as a joint-inventor. |

| FOREIGN FILING DOCUMENTS | | | | | |
|--------------------------|--------------------------|---------------------------------|--------------------|--|--|
| Examiner* Initials | Cite No. ¹ | Name of Foreign Filing Document | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 3. | International Search Report | 11-02-2005 | The Board of Trustees of the Leland Stanford Junior University | International Search Report of PCT Application based on 10/764,650, which is related to this application |

| | | | |
|-------------------------------|--|----------------------------|--|
| Examiner Signature | | Date Considered | |
|-------------------------------|--|----------------------------|--|

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number(optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.